

TOP FIVE INVESTMENT FIRMS REPORT
2021 annual publication of information on the identity and practices of execution venues

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Transmission/reception	Equities – Shares & Depository Receipts Tick size liquidity bands 5 and 6 (from 2000 trades per day)	01/01/2021	31/12/2021	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	100,00%	100,00%	100,00%	00,00%	00,00%

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Transmission/reception	Equities – Shares & Depository Receipts Tick size liquidity bands 5 and 6 (from 2000 trades per day)	01/01/2021	31/12/2021	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	100,00%	100,00%	100,00%	00,00%	00,00%

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Transmission/reception	Debt instruments Bonds	01/01/2021	31/12/2021	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	100,00%	100,00%	100,00%	00,00%	00,00%

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UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	100,00%	100,00%	100,00%	00,00%	00,00%

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Transmission/reception	Debt instruments Money Markets Instruments	01/01/2021	31/12/2021	Yes
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	100,00%	100,00%	100,00%	00,00%	00,00%

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Professional	Transmission/reception	Debt instruments Money Markets Instruments	01/01/2021	31/12/2021	Yes
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	100,00%	100,00%	100,00%	00,00%	00,00%

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Transmission/reception	Securitized Derivatives Warrants and Certificate Derivatives	01/01/2021	31/12/2021	Yes
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG – Milan Branch (2ZCNRR8UK83OBTEK2170)	100,00%	100,00%	100,00%	00,00%	00,00%

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Professional	Transmission/reception	Securitized Derivatives Warrants and Certificate Derivatives	01/01/2021	31/12/2021	Yes
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	100,00%	100,00%	100,00%	00,00%	00,00%

Client TYPE	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Transmission/reception	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)	01/01/2021	31/12/2021	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	100,00%	100,00%	100,00%	00,00%	00,00%

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Professional	Transmission/reception	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)	01/01/2021	31/12/2021	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	100,00%	100,00%	100,00%	00,00%	00,00%

Client type	Interaction	Class of Instrument	Period start	Period end	Notification if <1 average trade per business day in the previous year
Retail	Transmission/reception	Other instruments	01/01/2021	31/12/2021	No
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	99,47%	94,80%	100,00%	00,00%	00,00%
HAUCK & AUFHAEUSER BANQUIERSLuxembourg S.A. (54930038D560VXHPH735)	00,53%	05,20%	100,00%	00,00%	00,00%

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Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UniCredit Bank AG (2ZCNRR8UK83OBTEK2170)	95,56%	93,87%	87,36%	00,00%	00,00%
HAUCK & AUFHAEUSER BANQUIERSLuxembourg S.A. (54930038D560VXHPH735)	01,16%	01,99%	01,99%	00,00%	00,00%
State Street Bank Luxembourg S.C.A. (RNVZOEETEJ32KW0QXS82)	3,28%	12,64%	12,64%	00,00%	00,00%

END OF REPORT