

TOP FIVE INVESTMENT FIRMS REPORT
2022 annual publication of information on the identity and practices of execution venues

| Client type | Interaction | Class of Instrument | Period start | Period end | Notification if <1 average trade per business day in the previous year |
|---|--|--|------------------------------|---------------------------------|--|
| Retail | Transmission/reception | Equities – Shares & Depository Receipts Tick size liquidity bands 5 and 6 (from 2000 trades per day) | 01/01/2022 | 31/12/2022 | No |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| UniCredit Bank AG (2ZCNRR8UK83OBTEK2170) | 100,00% | 100,00% | 100,00% | 00,00% | 00,00% |

| Client type | Interaction | Class of Instrument | Period start | Period end | Notification if <1 average trade per business day in the previous year |
|---|--|--|------------------------------|---------------------------------|--|
| Professional | Transmission/reception | Equities – Shares & Depository Receipts Tick size liquidity bands 5 and 6 (from 2000 trades per day) | 01/01/2022 | 31/12/2022 | No |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
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|---|--|--|------------------------------|---------------------------------|--|
| Retail | Transmission/reception | Debt instruments Bonds | 01/01/2022 | 31/12/2022 | No |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| UniCredit Bank AG (2ZCNRR8UK830BTEK2170) | 100,00% | 100,00% | 100,00% | 00,00% | 00,00% |

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| UniCredit Bank AG (2ZCNRR8UK830BTEK2170) | 100,00% | 100,00% | 100,00% | 00,00% | 00,00% |

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| Client type | Interaction | Class of Instrument | Period start | Period end | Notification if <1 average trade per business day in the previous year |
| Retail | Transmission/reception | Debt instruments Money Markets Instruments | 01/01/2022 | 31/12/2022 | Yes |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| UniCredit Bank AG (2ZCNRR8UK830BTEK2170) | 100,00% | 100,00% | 100,00% | 00,00% | 00,00% |

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| UniCredit Bank AG (2ZCNRR8UK830BTEK2170) | 100,00% | 100,00% | 100,00% | 00,00% | 00,00% |

| Client type | Interaction | Class of Instrument | Period start | Period end | Notification if <1 average trade per business day in the previous year |
|---|--|--|------------------------------|---------------------------------|--|
| Retail | Transmission/reception | Securitized Derivatives Warrants and Certificate Derivatives | 01/01/2022 | 31/12/2022 | Yes |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| UniCredit Bank AG – Milan Branch (2ZCNRR8UK830BTEK2170) | 100,00% | 100,00% | 100,00% | 00,00% | 00,00% |

| Client TYPE | Interaction | Class of Instrument | Period start | Period end | Notification if <1 average trade per business day in the previous year |
|--|---|---|-------------------------------------|--|--|
| Retail | Transmission/reception | Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities) | 01/01/2022 | 31/12/2022 | No |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| UniCredit Bank AG (2ZCNRR8UK830BTEK2170) | 100,00% | 100,00% | 100,00% | 00,00% | 00,00% |

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| UniCredit Bank AG (2ZCNRR8UK830BTEK2170) | 100,00% | 100,00% | 100,00% | 00,00% | 00,00% |

| Client type | Interaction | Class of Instrument | Period start | Period end | Notification if <1 average trade per business day in the previous year |
|---|--|--|------------------------------|---------------------------------|--|
| Retail | Transmission/reception | Other instruments | 01/01/2022 | 31/12/2022 | No |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume traded as a percentage of total in that class | Proportion of orders executed as percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of directed orders |
| International Fund Services & Asset Management (529900INZZETDGTMO058) | 87,55% | 65,92% | 25,81% | 00,00% | 00,00% |
| UniCredit Bank AG (2ZCNRR8UK830BTEK2170) | 12,45 | 34,08% | 74,19% | 00,00% | 00,00% |

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| UniCredit Bank AG (2ZCNRR8UK830BTEK2170) | 55,51% | 38,91% | 47,79% | 00,00% | 00,00% |
| International Fund Services & Asset Management (529900INZZETDGTMO058) | 42,14% | 43,67% | 42,17% | 00,00% | 00,00% |

| | | | | | |
|---|-------|--------|-------|--------|--------|
| State Street Bank Luxembourg S.C.A. (RNVZOEETEJ32KW0QXS82) | 0,48% | 14,52% | 8,52% | 00,00% | 00,00% |
| Caceis Bank Deutschland GMBH (529900803X38RSVQBK33) | 1,87% | 2,90% | 9,89% | 00,00% | 00,00% |

END OF REPORT